Results are in!

Data to support hard work and success in your programs
Your Audit Staff
## FY 2014 - 23 food assessments

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# FY 2015 – 22 food assessments

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Full implementation – 14/15

• 7, 8 & 9
  Fully implemented at 60 months in 2014

• 1, 3, 7, 8 & 9
  Fully implemented at 60 months in 2015
AS/SIS food and feed/state partner
Non-pilot state

• FY 15 data supports non-pilot states are achieving implementation more quickly

• Indicating later enrolled states are building on successes of pilot states
## Combined [79]

(18) 40 / (36) 29 / (60) 10

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### Pilot Data – 30P, 21I, 49C

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**Note:** The table above represents the data matrix for the Pilot Study with columns 1-10 labeled with C and I, indicating certain conditions or states.
Data Conclusions

• Implementation has a positive correlation over time
• Conformance is not being achieved at 60 months, individual standards but not full set
• Full conformance at 60 month – 49% (n=10)
• Standards 4 and 6 demonstrate lowest rate of implementation
• Combined data is consistent with overall trends; as the sample size increases, results more accurate
Audit Staff, year end review
Standards 3, 6, 5, 4, and 2 represent 79% of the total Findings.
Standards 3 and 6 Represent 37% of the total Findings.
Total Findings after 60 day corrections (142 corrections)
Standards 3, 6, 5, 4, and 2 represent 75% of the total Findings
Standards 3 and 6 Represent 36% of the total Findings

Total Observations by Standard FY14 and FY 15 with 142 corrections (779 Findings)
Standard 6 Findings 2014 and 2015

- Critical and Chronic Violations: 34
- Timelines for progressive actions: 29
- Critical and Chronic Violators: 27
- No procedure, draft, inadequate: 26
- Risk-based system: 13
- No corrective action 80%: 12
- Communicate verbal and written policy: 9
- Performance review not used for improvements: 6
- 6.2 using retail: 5
- No written enforcement strategy: 1
- No written enforcement strategy: 1
Standard 5 Findings

Bar chart showing the frequency of findings:
- No MOU or draft: 19
- Inadequate draft form: 19
- Insufficient contact list: 19
- Notify relevant agencies: 16
- Releasing contributing factors: 12
- Collaborating with authorities: 9
- Informing distribution/maintain findings: 6
- Timeframe responding to complaints: 5
- Mitigate (enforcement): 5
- Coordinator identified: 3
- Procedure to prevent or reduce: 3
- Procedures not implemented: 2
- Written agreement, supplemental lab: 1
AS/SIS/CVM/State Partners
New Feed Assessment Program Development

- Roll out first assessments this month
- First two visits are mirroring food program assessments
- Implementation only, no conformance
- Full implementation = Full Conformance
- Model SOP’s
Future Assessment
Program Development

- Standard Framework – joint AS/SIS team
- Eggs
- Shellfish
- Produce
- Inclusion of stakeholders in development
AS/SIS joint meeting
Joint Communication Team
Joint Single Voice Team
2016 Alliance Board
Ellen

Audit Staff Director
FDA/ORA/OO/
ellen.buchanan@fda.hhs.gov